



FORTNUM
PRIVATE WEALTH

dobbrick
FINANCIAL SERVICES

Fortnum Principal Practice Profile

Fortnum Private Wealth Pty Ltd (ABN 54 139 889 535), AFSL 357306 trading as Fortnum Financial Advisers (FFA) is a Group representing a number of like-minded advisers united in a "client-first" approach, in which all Principal Practices have an equity stake.

Dobbrick Financial Services (Gympie) Pty Ltd¹ (ABN 48 931 205 109) and its advisers are Authorised Representatives of FFA.

Note: This Principal Practice Profile forms an essential part of the Financial Services Guide ("Guide"). The Guide is not complete without it.

Issued: 23/01/2017

Version: 3.4

Dobbrick Financial Services (Gympie) Pty Ltd

Authorised Representative Number: 290895

Our Practice has been established to provide a range of wealth advice and expertise to assist clients with every aspect of their financial situation. Our firm has a disciplined approach to helping you build and manage your plan for financial independence.

Our team

Paul Owen Dobbrick

Dip FS (FP), ALPA

Authorised Representative Number: 290486

Paul Dobbrick holds a Diploma of Financial Services (Financial Planning) and is also an ASX Accredited Listed Product Adviser. With over 17 years of experience in the financial services sector Paul continues to provide his clients with the highest quality advice working with them to achieve their financial goals.

Daniel John Robertson

Dip FS (Finance/Mortgage Broking Management)

Authorised Representative Number: 245169

Credit Representative Number: 367697

Daniel Robertson is a qualified financial planner and mortgage broker. He has over 15 years of experience in the financial services sector. Dan is an enthusiastic and knowledgeable financial planner ready to work with his clients to achieve their financial goals.

¹Dobbrick Financial Services (Gympie) Pty Ltd as Trustee for Rosendale Trust trading as Dobbrick Financial Services (G)

Products Offered

The advisers noted in this profile are authorised to provide financial product advice to their clients and deal in:

- Deposit and payment products
- Debentures, stocks or bonds issued or proposed to be issued by a government
- Derivatives
- Life investment or life risk products
- Interests in managed investment schemes, including investor directed portfolio services (IDPS)
- Retirement savings accounts
- Managed Discretionary Account (MDA) services
- Securities
- Superannuation
- Standard margin lending facility

Services Offered

The advisers noted in this profile are able to offer their clients the following services:

- Investment strategies including gearing and savings plans
- Budget and cash flow planning
- Debt management
- Superannuation advice, including salary sacrifice and consolidation strategies
- Personal insurance strategies
- Centrelink / DVA advice
- Retirement planning advice
- Estate planning advice
- Advice on ownership and structures eg. Discretionary and family trusts
- Portfolio review services
- Ongoing advisory services
- Referrals to specialists, eg. Accountants, solicitors
- Self Managed superannuation

The Credit Representative/s within this profile are authorised to engage in credit activities other than as a credit provider in relation to credit contracts and consumer leases.

Client Fees

There are various ways that you may pay for the services that are provided.

- Fee For Service
- Commissions from a product or service provider
- A combination of the above

Your adviser will obtain your agreement to the arrangement prior to proceeding.

Fee for Service

A fee for service may be payable for the following services:

- Preparation of advice
- Initial adviser services
- Ongoing adviser services
- Ongoing review services

The fee for service may be determined by any of the following:

An hourly rate of \$275 per hour (including GST) depending on the complexity of your circumstances;

An agreed fee;

A percentage of funds under advice of up to 1.1% (including GST) depending on the complexity of your circumstances;

A combination of any of the above.

If you pay a fee for service to FFA, they may pay a proportion of this to Dobbrick Financial Services (Gympie) Pty Ltd as detailed in the Guide under the heading 'Remuneration received by Principal Practices'. If your adviser receives a proportion of



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this remuneration they will inform you of the amount at the time they provide you with advice.

Commissions from product and service providers

Commissions may be paid to Fortnum Private Wealth by product and service providers who, in turn, may pay a proportion of this to Dobbrick Financial Services (Gympie) Pty Ltd as detailed in the Guide under the heading 'Remuneration received by Principal Practices'.

If your adviser receives a proportion of this remuneration they will inform you of the amount at the time they provide you with advice.

Adviser Remuneration

The advisers noted in this profile may be remunerated by one or more of the following methods. If any are relevant to the advice provided to you, further details will be set out in your advice document.

➤ Salary

Your adviser may be paid a salary based on experience and capability.

➤ Bonus

Your adviser may be eligible to receive a bonus based on a combination of revenue and other non financial measures that relate to compliance, staff training and the quality of service.

Other benefits

Your adviser may also receive other benefits, all of which are detailed in the Guide under the heading 'other benefits'.

Your adviser is also required to keep a register of small value benefits (i.e. \$100 to \$300 in value) which may be received by them from product and service providers. These benefits are permissible unless they are received frequently or similar benefits, when combined, exceed \$300. These registers are available at your adviser's office for inspection with 7 days' notice.



Contact details

If you would like to make an appointment, please contact our office on:

Phone: (07) 5482 7828

Fax: (07) 5482 8181

Email: Gympie@dobbrickfinancialservices.com.au

Address:

45 Calton Terrace, Gympie QLD 4570



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DFS Oakland¹ (ABN 64 340 527 395) and its advisers are Authorised Representatives of FFA.

Where indicated, the advisers of DFS Oakland may also be Authorised Credit Representatives of Fortnum Private Wealth, Australian Credit Licence 357306.

Note: This Principal Practice Profile forms an essential part of the Financial Services Guide and Credit Guide ("**Guide**"). The Guide is not complete without it.

Issued: 23/01/2017

Version: 3.4

DFS Oakland

Authorised Representative Number: 334394

Our Practice has been established to provide a range of wealth advice and expertise to assist clients with every aspect of their financial situation. Our firm has a disciplined approach to helping you build and manage your plan for financial independence.

Our team

Paul Owen Dobbrick

Dip FS(FP), ALPA

Authorised Representative Number: 290486

Paul Dobbrick holds a Diploma of Financial Services (Financial Planning) and is also an ASX Accredited Listed Product Adviser. With over 17 years of experience in the financial services sector Paul continues to provide his clients with the highest quality advice working with them to achieve their financial goals.

Daniel John Robertson

Dip FS (Finance/Mortgage Broking Management)

Authorised Representative Number: 245169

Credit Representative Number: 367697

Daniel Robertson is a qualified financial planner and mortgage broker. He has over 15 years of experience in the financial services sector. Dan is an enthusiastic and knowledgeable financial planner ready to work with his clients to achieve their financial goals.

¹The Partnership of Dobbrick Financial Services (Gympie) Pty Ltd as Trustee for Rosendale Trust and Oakland Investment Group as trustee for Oakland Investment Group Unit Trust trading as DFS Oakland (Dobbrick Financial Services (O))

Products Offered

The advisers noted in this profile are authorised to provide financial product advice to their clients and deal in:

- Deposit and payment products
- Derivatives
- Life investment or life risk products
- Interests in managed investment schemes, including investor directed portfolio services (IDPS)
- Managed Discretionary Account (MDA) services
- Retirement savings accounts
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- Superannuation
- Standard margin lending facility

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The advisers noted in this profile are able to offer their clients the following services:

- Investment strategies including gearing and savings plans
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- Personal insurance strategies
- Centrelink / DVA advice
- Retirement planning advice
- Estate planning advice
- Advice on ownership and structures eg. Discretionary and family trusts
- Portfolio review services
- Ongoing advisory services
- Referrals to specialists, eg. Accountants, solicitors
- Self Managed Superannuation
- Standard Margin Lending Facility

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Client Fees

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An agreed fee;

A percentage of funds under advice of up to 1.1% (including GST) depending on the complexity of your circumstances;

A combination of any of the above.

If you pay a fee for service to FFA, they may pay a proportion of this to DFS Oakland Pty Ltd as detailed in the Guide under the heading 'Remuneration received by Principal Practices'. If your adviser receives a proportion of this remuneration they will inform you of the amount at



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the time they provide you with advice.

Commissions from product and service providers

Commissions may be paid to FFA by product and service providers who, in turn, may pay a proportion of this to DFS Oakland as detailed in the Guide under the heading 'Remuneration received by Principal Practices'.

If your adviser receives a proportion of this remuneration they will inform you of the amount at the time they provide you with advice.

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The advisers noted in this profile may be remunerated by one or more of the following methods. If any are relevant to the advice provided to you, further details will be set out in your advice document.

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